

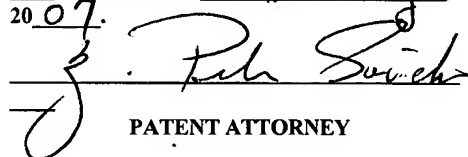
UNITED STATES RECEIVING OFFICE (RO/US)

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| Re | International Appln. No. PCT/FR2004/003030 |
| International Filing Date | 25 November 2004 (25.11.2004) |
| Applicant | PETIT Karina-Ethel et al. |
| U.S. Serial No. | 10/581,226 |
| U.S. Filing Date | 2 June 2006 (2.6.2006) |
| Title of Invention | NEUROACTIVE SUBSTANCE AND USES OF ONE SUCH SUBSTANCE |
| Agent's File | U25.12-0001 |

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS
 BEING SENT BY U.S. MAIL, FIRST CLASS,
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 P.O. BOX 1450, ALEXANDRIA, VA 22313-
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8th DAY OF January
 20 07.

 PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

Submitted herewith is a copy (with English translation as appropriate) of an Official Search Report of the French Patent Office in counterpart foreign application No. 03 14167 filed 2 December 2003.

TIME OF FILING

The information disclosure statement is being filed:

1. ☒ with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

2. ☐ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311.

Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e);
B. ☐ the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

- ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

- ☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT


X No fee is required.

 Attached is a check in the amount of \$.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 
Z. Peter Sawicki, Reg. No. 30,214
900 Second Avenue South, Suite 1400
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222
Fax: (612) 334-3312

ZPS:cnn

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| FORM PTO-1449 | Atty. Docket No.: U25.12-0001 | Appl. No.: 10/581,226 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | First Named Inventor: | |
| | PETIT Karina-Ethel et al. | |
| | Filing Date | Group Art: |
| | June 2, 2006 | |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|------|------|-------|-----------|-------------------------------|
| AA | | | | | | |
| AB | | | | | | |
| AC | | | | | | |
| AD | | | | | | |
| AE | | | | | | |
| AF | | | | | | |
| AG | | | | | | |
| AH | | | | | | |
| AI | | | | | | |
| AJ | | | | | | |
| AK | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|------------------|---|
| AL | L.A. Morris et al.: "A bioactive secosterol with an unusual A- and B-ring oxygenation pattern isolated from an Indonesian soft coral <i>Lobophytum</i> sp.", <i>Journal of Natural Products</i> , 1998, Vol. 61, No. 4, pp. 538-541. |
| AM | D. Green et al.: "Secondary metabolites of the yellow and gray morphs of the soft coral <i>Parerythropodium fulvum fulvum</i> : Comparative aspects", <i>Journal of Natural Products (Lloydia)</i> , 1992, Vol. 55, No. 9, pp. 1186-1196. |
| AN | S. Naz et al., "New antiproliferative epoxysecosterols from <i>Pseudopterogorgia americana</i> ", <i>Tetrahedron Letters</i> , 2000, Vol. 41, No. 32, pp. 6035-6040. |
| EXAMINER: | |
| DATE CONSIDERED: | |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.